



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

ATTY DOCKET NO.: JP920010225US1

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**



Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☐ a patent application
- ☒ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no. PCT/JP02/09616 filed September 19, 2002 designating the United States and published in Japanese on April 3, 2003 as publication number WO 03/027926 A1, which claims priority from Japanese Application 2001-287883 filed September 20, 2001, the disclosures of which are incorporated herein by reference in their entireties.
- ☐ and claims the benefit of Provisional Application No. _____; filed _____.

Inventor(s) or Application Identifier:

Katsuhiko Suzuki; Youjiro Suzuki; Shigehisa Okada; and Yuuji Kouno

Entitled: **COMMODITY SALES NUMBER FORECASTING SYSTEM AND METHOD,
COMPUTER PROGRAM PRODUCT AND STORAGE MEDIUM**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 29 pages of Specification (including 17 claims)
3. ☒ 12 sheets of drawings (35 USC 113)
4. ☒ Oath or Declaration (*Unsigned*)
 - a. ☐ newly executed (*original or copy*)
 - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below])
 - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (*Appendix*)
8. ☐ Assignment papers (*cover sheet(s) and document(s)*)
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☐ Information Disclosure Statement, PTO-1449, and 4 references cited

11. ☒ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
 12. ☐ English Translation Document
 13. ☐ Certified Copy of Application No. ; Filed
 14. ☐ Sequence Listing/ Sequence Listing Diskette
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement in support
 15. ☐ An Associate Power of Attorney
 16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
 17. ☐ Deletion of Inventor(s) (Signed statement attached deleting inventor(s) name in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).
 18. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form.
 19. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	17 - 20 =	0	x 09 = \$	x 18 = \$
INDEP CLAIMS	5 - 3 =	2	x 43 = \$	x 86 = \$172.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 145 = \$	+ 290 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total: \$942.00

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
☒ Please charge my Deposit Account No. 09-0457 in the amount of \$942.00.
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 09-0457:
 a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
 b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

William E. Schiesser

William E. Schiesser
 Registration No. 53,559

DATE: 17 MAR 2004

International Business Machines Corp.
 1701 North Street
 Department IQOA/Building 040-3
 Endicott, New York 13760
 Telephone: (607) 429-3917
 Facsimile: (607) 429-4119

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. JP920010225US1
Applicant(s): Y. Kouno et al			
Serial No.	Filing Date HEREWITH	Examiner	Group Art Unit
Invention: COMMODITY SALES NUMBER FORECASTING SYSTEM AND METHOD, COMPUTER PROGRAM...			
<p>I hereby certify that this <u>Patent App. Dec. & related paperwork, Prelim. Amend.</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>3/17/04</u> (Date)</p> <p><u>Bethany Fitzpatrick</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Bethany Fitzpatrick</u> (Signature of Person Mailing Correspondence)</p> <p><u>EV 342660563 US</u> (<i>"Express Mail"</i> Mailing Label Number)</p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			